

#16

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walter H. Günzburg, David Winder and Robert M. Saller

Serial No.: 08/999,690

Filed: September 8, 1997

For: VECTORS CARRYING THERAPEUTIC GENES ENCODING  
ANTIMICROBIAL PEPTIDES FOR GENE THERAPY

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on May 6, 1998 Karen DiRocco

Date Signature

KAREN DiRocco

Typed or printed name of person signing certificate

TRANSMITTAL OF SEQUENCE LISTING AND  
PRELIMINARY AMENDMENT IN COMPLIANCE  
WITH 37 C.F.R. §§1.821-1.825

**INITIAL PATENT EXAMINATION DIVISION**

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This amendment is submitted in order to comply with Sequence Rules 37 C.F.R. §§1.821-1.825. Transmitted herewith is a copy of the "Sequence Listing" in paper form for the above-identified patent application as required by 37 C.F.R. §1.821 and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicants' Attorney hereby states that the content of the "Sequence Listing" in paper form and the computer readable form of

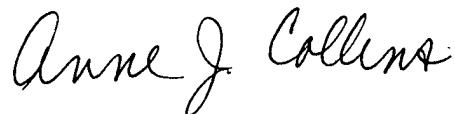
the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Applicants' Attorney submits the following amendments to comply with 37 C.F.R. §1.825:

In the Specification

Please insert immediately prior to the claims the "Sequence Listing" attached hereto, comprising SEQ ID NOS.: 1-12, as new pages 28-32. Please renumber subsequent pages 28-35 as 33-40.

Respectfully submitted,



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Date: *May 6, 1998*